



JOHNSON LYMAN
WEALTH ADVISORS

GETTING ACQUAINTED QUESTIONNAIRE

PERSONAL INFORMATION

Client (1)

Client (2)

Name: _____

Home Address: _____

City, State, Zip: _____

Home Phone: _____

Work Phone: _____

Fax: (Hm or Work) _____

E-mail: _____

Date of Birth: _____

U.S. Citizen: Yes No Yes No

DEPENDENTS (Please list children or *any other* dependents)

<u>Name</u>	<u>Relationship</u>	<u>Date of Birth</u>	<u>Residence (City & State)</u>
_____	_____	/ /	_____
_____	_____	/ /	_____
_____	_____	/ /	_____
_____	_____	/ /	_____

EMPLOYER INFORMATION

Client (1)

Client (2)

Employer _____

Job/Title _____

Years with current employer _____

Any anticipated employment changes? _____

At what age should work be optional? _____

Income Summary (current year)

Salary _____

Bonus/Commissions _____

Self Employment Income _____

Other Earned Income _____

TOTAL INCOME _____

ASSETS

IMPORTANT NOTE: If you have the information requested in this section in a format of your own design, please feel free to submit that instead.

Use the following table to list the current balance or market value of all your long term investments. Include all retirement accounts (401k, 403b, pensions, or IRAs), tax-deferred products (variable life or annuities), savings for educational purposes (529 plans or Coverdell ESAs), or other after-tax personal investments.

Investment Name / Description	Investment Type	Owner	Beneficiary (if applicable)	Current Value or Balance

For fixed income investment such as bonds or CDs, please indicate interest rate and maturity. For company pensions, if applicable, please indicate expected benefit payments at retirement age.

Please list below and estimate a value for any other investment assets not appearing on the list above or the statements provided (i.e. rental real estate or business interests, limited partnerships, stock options, etc.):

How did you select your current investments?

Personal Use Property

	<u>Est. Current Value</u>	<u>Date Purchased</u>	<u>Purchase Price</u>
Primary Residence	_____	_____	_____
Vacation Home	_____	_____	_____

	<u>Description</u>	<u>Est. Current Value</u>
Vehicle	_____	_____
Vehicle	_____	_____
Other	_____	_____
Other	_____	_____

LIABILITIES

Credit Cards

	<u>Paid in Full</u> <u>Monthly</u>	<u>Interest</u> <u>Rate</u>	<u>Monthly</u> <u>Payment</u>	<u>Current</u> <u>Balance</u>
_____	<input type="checkbox"/>	%	\$ _____	\$ _____
_____	<input type="checkbox"/>	%	\$ _____	\$ _____
_____	<input type="checkbox"/>	%	\$ _____	\$ _____
_____	<input type="checkbox"/>	%	\$ _____	\$ _____

Enter interest rate, payment, and balance if not paid in full monthly.

Debts (mortgage, home equity, auto, & business loans)

<u>Type of Loan</u>	<u>Origination</u> <u>Date</u>	<u>Term</u>	<u>Interest</u> <u>Rate</u>	<u>Payment</u>	<u>Current</u> <u>Balance</u>	<u>Original</u> <u>Balance</u>
_____	_____	_____	_____ %	\$ _____	\$ _____	\$ _____
_____	_____	_____	_____ %	\$ _____	\$ _____	\$ _____
_____	_____	_____	_____ %	\$ _____	\$ _____	\$ _____
_____	_____	_____	_____ %	\$ _____	\$ _____	\$ _____

INVESTMENT PREFERENCES

Please answer the following questions to help us understand how you think about making investments. Later, we will supplement this information with a formal psychometric risk assessment and face-to-face conversations in order to determine what type of investment approach will be most appropriate for your particular circumstance.

Please summarize your attitudes and beliefs concerning the following issues using following (1-5) scale.

- 1 = Strongly Agree
- 5 = Strongly Disagree

Client (1) Client (2)

- _____ I prefer the ease of mutual funds over individual securities.
- _____ I feel that I/we can reduce our current living expenses to save more for the future if needed.
- _____ I am more concerned about protecting my assets than about growth.
- _____ I would rather work longer than reduce my standard of living in retirement.
- _____ I am comfortable with investments that promise slow, long term appreciation and growth.
- _____ I don't brood over the bad financial decisions I have made.
- _____ I feel comfortable with aggressive growth investments.
- _____ I don't like surprises.
- _____ I am optimistic about my financial future.
- _____ My immediate concern is for income rather than growth opportunities.
- _____ I am a risk taker.
- _____ I make investment decisions quickly and confidently.
- _____ I like predictability and routine in my daily life.
- _____ I usually pick the tried and true, the slow, safe, and sure investments.
- _____ I need to focus my investment efforts on building cash reserves.
- _____ I prefer predictability, steady return on my investments, even if the return is low.

Please provide any additional information you feel is important, or to further clarify the advice you seek:

IMPORTANT NOTE

Please mail, fax or email this form, including any attachments to our offices prior to your scheduled meeting time. This will allow us to review your financial situation in advance of our discussion, making our time together more productive.

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